

NICE Actimize

Mobile Compliance Recording & Archiving with NTR-X and Movius





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Empower your employees, reduce risk, and ensure regulatory compliance with mobile archiving

Mobile communications—whether through voice calls, WhatsApp, WeChat, Signal, or SMS—now represent the most used channel in communications between regulated employees and customers in the financial services sector. However, these channels also pose risks. Over the past few years, regulators have fined financial services firms more than two billion dollars for lapses in recordkeeping related to off-channel communications.

Has your firm banned the use of mobile phones by regulated employees entirely? It's likely that, despite these policy restrictions, mobile communications are still occurring under the radar—and simply not being recorded.

NTR-X Now Integrates with Movius MultiLine for Comprehensive Mobile Compliance

Banks around the world rely on Movius MultiLine to facilitate secure and compliant mobile communications. With the integration of NTR-X into the Movius MultiLine voice and messaging platform, your firm no longer has to choose between restricting mobile phone use or risking regulatory non-compliance. Your regulated employees can collaborate and communicate effortlessly with customers and colleagues, while enjoying the convenience and flexibility of mobile communications in a compliant manner.

The NTR-X device-enabled store-and-forward application for Movius ensures seamless and secure capture and archiving of all mobile communications—including voice calls, text messages, and chats and calls from WeChat and WhatsApp—allowing you to comply confidently with regulations.

In fact, as the industry's leading omni-channel cloud compliance recording and archiving platform, NTR-X supports all the various ways your regulated employees communicate—whether through PBX, turret, unified communications, or mobile. This means you can archive all your data, including mobile communications, in one centralized location, while also benefiting from NICE Actimize's integrated Compiacentral capabilities for trade reconstruction and AI-powered surveillance.



How the NTR-X – Movius Integration Works

Movius Multiline App
**captures messages and voice calls
directly from mobile carrier network***



NTR-X's secure integration with Movius
allows captured messages and voice calls
to be **automatically forwarded to NTR-X
enterprise archive for unified storage**
(works in background without any
user intervention)



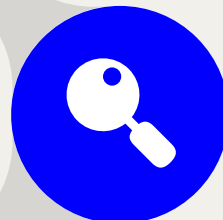
Mobile communications
data is encrypted in transit
for added security/data protection



Mobile communications **data flows
into centralized archive**, and is stored
together with other regulated employee
communications (e.g. PBX, turret, unified
communications, etc.)



Compliance teams with designated
access can **search and retrieve mobile
and other communications** using various
search criteria (e.g. email, phone number,
device type, name, content and more).



Compliance personnel can also
manage and leverage the data in other
ways (complex search queries, bulk
export, litigation holds, reporting, trade
reconstruction, AI-powered surveillance)



* Customers must purchase Movius MultiLine and capture software directly from Movius, separate from NTR-X



Compliant Mobile Recording, and Much More

Along with ensuring mobile communications are captured for regulatory compliance, the Movius-compatible NTR-X offers many other benefits:



Centralized approach to regulatory record-keeping, improved oversight

As your global enterprise adopts new communication methods beyond traditional turrets and desktop phones, NTR-X stands out as the industry's most comprehensive compliance recording solution. It can store over one hundred different types of regulated employee communications, covering all communication modalities.

This means your compliance team can access and manage all captured communications for your global recording estate through a single pane of glass, for better oversight.



Built-in Tools for Complete Lifecycle Management of Data

NTR-X offers a complete solution for managing the entire lifecycle of archived employee communications that are subject to regulation. You can set specific retention requirements for different types of communications based on various record-keeping regulations. The platform also allows you to efficiently manage large volumes of litigation hold requests, export bulk records to downstream systems, execute complex search queries, and easily generate reports for reconciliation or compliance verification.

Centralizing your data also makes it easier to produce comprehensive compliance reports and respond to regulators, because you don't need to query, and download data and documents from various places.



Fool-proof Regulatory Compliance

Evolving regulations and new communication and collaboration tools are making regulatory record-keeping increasingly complex and challenging. If your organization stores communication data in silos, you risk misplacing data or failing to meet retention requirements. This can expose your firm to regulatory scrutiny, financial penalties, personal liabilities, and potential reputational damage.

No matter where your firm operates or what communication channels and devices your regulated employees use, NTR-X is here to help. We assist organizations in complying with all relevant regulations, including those from FINRA, SEC, FCA, IIROC, MAS, and SFC, as well as MiFID II and GDPR.



Safe and Secure

NTR-X's store and forward methodology of capturing data ensures that data is encrypted both in transit and at rest. The system offers top-tier security features, including advanced data encryption, WORM-compliant archiving, SOC-audited data centers, and 24/7 monitoring for security threats, among other protections.

NICE manages its cloud platform with a team that is certified in ISO 27001 and SOC 2, while also complying with several standards and certifications, including OSPAR, SSAE 16, SOC 2 with HITRUST, and ISO 27001. Furthermore, NTR-X operates as a single-tenant system within the NICE cloud, which is hosted on Microsoft Azure or AWS. This setup eliminates the risk of data co-mingling or cross-client access.





Do More with Your Data

Data capture and archiving is essential for regulatory compliance. But storing data isn't everything – it's what you do with the data that counts.

NTR-X is part of Compiacentral, a comprehensive platform designed to capture, report on, monitor, and surveil all your communications, behavioral data, and trade data in one centralized location, allowing you to gain deeper insights and manage risks more effectively.

Additionally, our award-winning holistic surveillance suite, combined with proven Actimize Intelligence AI, empowers compliance professionals to accurately identify genuine risks, such as market abuse and other forms of misconduct. The system can differentiate between harmless and high-risk communications while significantly reducing the workload associated with false alerts. Because it covers both communication and trade data in the same surveillance suite, it also facilitates faster and automated correlation of communications and market surveillance data, enabling quick trade reconstruction.



Ready to take the next step?

Reach out to us via email or visit our website to learn more.

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✓ niceactimize.com/compliance

Know More. Risk Less.

About NICE Actimize

As a global leader in artificial intelligence, platform services, and cloud solutions, NiCE Actimize excels in preventing fraud, detecting financial crime, and supporting regulatory compliance. Over 1,000 organizations across more than 70 countries trust NiCE Actimize to protect their institutions and safeguard assets throughout the entire customer lifecycle. With NiCE Actimize, customers gain deeper insights and mitigate risks.

Learn More

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